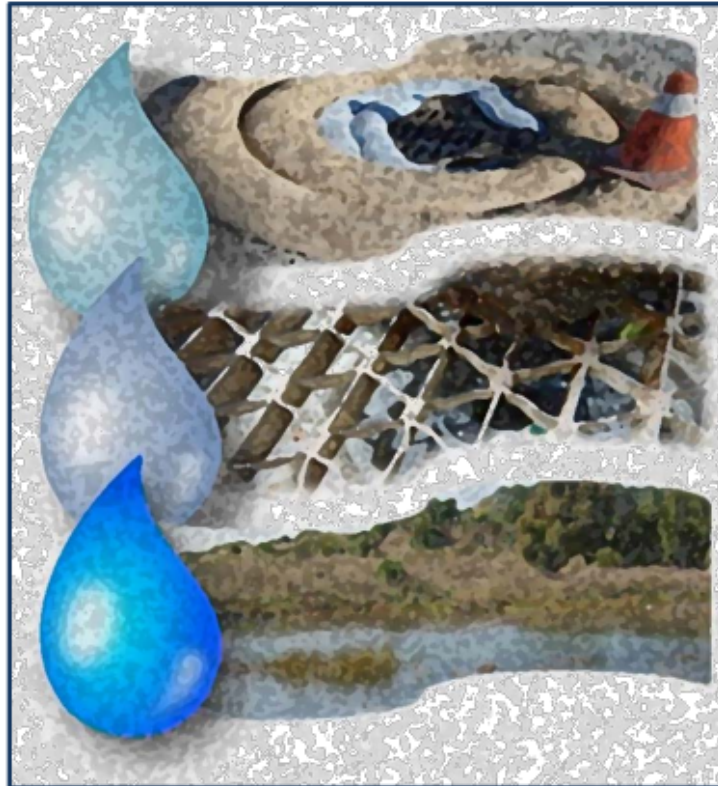


**STATEWIDE INDUSTRIAL GENERAL PERMIT
DISCHARGER'S GUIDE TO THE STORMWATER MULTIPLE
APPLICATION AND REPORT TRACKING SYSTEM (SMARTS)
DATABASE**

Notice of Intent (NOI)



Last Revised: November 9, 2021

Statewide Industrial Stormwater General Permit (IGP) –Notice of Intent (NOI):

The Industrial General Permit (Order 2014-0057-DWQ), regulates stormwater discharges associated with industrial activities.

Any Discharger operating a facility described in [Attachment A of the Industrial General Permit](#)

(https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/2014indgenpermit/atta.pdf) must register for Notice of Intent (NOI) coverage under the Industrial General Permit (IGP). A Discharger must electronically certify and submit Permit Registration Documents (PRDs) via the State Water Resources Control Board's (State Water Board's) Stormwater Multiple Application and Report Tracking System (SMARTS) at least seven (7) days prior to commencement of industrial activities. The Permit Registration Documents required for submittal through SMARTS are a certified Notice of Intent, an uploaded Stormwater Pollution Prevention Plan (SWPPP), an uploaded Site Map, an application fee, and an Electronic Authorization (eAuthorization) Form. The Electronic Authorization form must be signed with an original wet signature preferably in blue ink by the Legally Responsible Person (LRP) and mailed to one of the addresses listed on the form.

A facility's Notice of Intent coverage does not become effective until the Permit Registration Documents are submitted, the annual fee is paid, and the application has been processed by the State Water Board. Once the State Water Board processes the application, the facility will be assigned a Waste Discharge Identification Number (WDID) and the Discharger will be notified by email.

This guide provides general guidance, detailed information on how to access SMARTS and how to complete a Notice of Intent application. This guidance document is a "living document" and will be updated as necessary. For additional questions, contact the SMARTS Help Desk at stormwater@waterboards.ca.gov or at 1-866-563-3107.

The Notice of Intent Permit Registration Documents must be submitted and certified by the facility's Legally Responsible Person. A Duly Authorized Representative (DAR) or a Data Entry Person (DEP) will be able to fill out the application but will not be able to submit and certify it. The following steps provide guidance to a Legally Responsible Person on how to submit the required documents to file for a Notice of Intent.

1. To start a new Notice of Intent, please log into [SMARTS](https://smarts.waterboards.ca.gov):
(<https://smarts.waterboards.ca.gov>)
Please use SMARTS in Microsoft Edge

SMARTS LOGIN

User ID:

Password:

[Login](#) [Create Account](#)

[Unlock, Reset Password or Forgot User ID](#)

Browser Requirements: SMARTS works best with Microsoft Edge. The use of other browsers may cause unexpected errors.

Due to concerns with the spread of COVID19, the Stormwater Help Desk is unavailable to answer phone inquiries at this time. We are available and responding to emails. Please email any inquiries to stormwater@waterboards.ca.gov

[Public User Menu](#) [Permit FAQs](#) [SMARTS Resources](#) [Email SMARTS Help](#)

1. From the Main Menu, select "Industrial General Permit."

Select Program to Access

- [Construction General Permit](#)
- [Industrial General Permit](#)
- [Municipal Phase I Permit](#)
- [Municipal Phase II Permit](#)
- [Caltrans MS4 Permit](#)
- [Documents Ready for Certification](#)
- [Reports](#)
- [Manage Linked Users](#)
- [Outstanding Invoices](#)
- [Replace LRP](#)
- [Recertification](#)
- [Update User Profile](#)
- [Public Search Menu](#)

Last 10 Records Previously Saved

Application ID	Permit Type	Document Type	Facility Name	Status
----------------	-------------	---------------	---------------	--------

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2. Select “New Application.”

Water Boards Storm Water Multiple Application & Report Tracking System

You are logged-in as: [Name] If this account does not belong to you, please log out. Navigate To: [Dropdown]

Welcome to Storm Water Multiple Application Reporting and Tracking System - SMARTS

Industrial Permit Menu

- New Application** (circled in red)
- Active NOIs, NECs
- Pending Applications
- Terminated NOIs
- Reports
- Back to Main Menu

Applications You Are Associated With:

Application ID	Application Type	Status	Owner/Operator	Site/Facility	NOT	Hide	Delete	Delink App
WDIQ								

3. Select “Statewide Industrial General Permit Notice of Intent.”

Water Boards Storm Water Multiple Application & Report Tracking System

You are logged-in as: [Name] If this account does not belong to you, please log out. Navigate To: [Dropdown]

Start New Storm Water Application

Please click on the appropriate link to start an application

Select Permit Type

- Statewide Industrial General Permit Notice of Intent** (circled in red)
- Statewide Industrial General Permit No Exposure Certification
- Industrial Notice of Non-Applicability
- Santa Ana Regional Water Board (Region 8) Sector Specific Scrap Metal Recycler Permit (R8-2012-0012)

4. Select the Organization (Company) record and click “Next.” If you are entering a new organization then, please proceed to the next step¹. If you have an organization listed already, please proceed to step 7.

Water Boards Storm Water Multiple Application & Report Tracking System

You are logged-in as: [Name] If this account does not belong to you, please log out. Navigate To: [Dropdown]

Industrial

Please select the owner/operator of the new NOI from the following Businesses which you represent.

Select	Organization ID	Organization Name	Address	Business Type
<input type="radio"/>	627684	Test	1001 I Street Sacramento CA 95814	Private Individual
<input type="radio"/>	639291	Fried Chicken People	6543 Coop Lane Citrus Heights CA 95621	Private Individual
<input type="radio"/>	640091	Test Site	123 Fake street Rancho Cordova CA 95670	Private Business
<input type="radio"/>	0	Create New Organization	Create New Organization	

Back **Next** (circled in red)

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¹**NOTE:** If you are entering information as a Duly Authorized Representative (DAR) or a Data Entry Person (DEP) you will not be able to complete the next step. Only a Legally Responsible Person can create a new organization.

5. Enter all required Organization/Business details. Once you have input the information, click on “Save.”

Organization/Business Details

Please enter the new Operator Details

Organization Name:	California 123	*
Foreign Address:	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Address:	123 Bookit St	*
Address Line2:		
City/State/Zip:	Sacramento CA 95812	*
Business Type	Private Individual	
Federal Tax Id:		* Mandatory except for private individual.

Save

Fields marked with * are mandatory fields.

6. Enter all required Operator Information²³. Once you have entered all the required property owner information, select “Save & Continue”⁴.

Operator Information

The application is organized into different tabs. Please complete all applicable tabs before submitting the form. If you want to complete the application at a later time, please click on “Save & Exit”.

WDID/App ID:	- 502633	Operator:	California 123	Certified Date:	
Status:	Not Submitted		123 Bookit St Sacramento CA 95812	Processed Date:	
Order No:	2014-0057-DWQ	Facility:		NOT Effective Date:	
Permit Type:	Industrial - NOI			Previous ID:	-

Operator Info	Facility Info	Addl. Facility Info	Billing Info	Attachments	Certification	Requirements	Inspections	Print	Status History	Linked Users
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Property Owner Information Populate Contact Info: Select

Owner Name:	California 123	* 2	Contact First Name:	Jane	*
Street Address:	123 Bookit St	* 2	Contact Last Name:	Doe	*
Address Line 2:		?	Title:		
City/State/Zip:	Sacramento CA 95812	* 2	Phone:	866-563-3107	* Ext: (999-999-9999)
Type:	Private Individual	? * 2	E-mail:	stormwater@waterboard.ca.gov	* (abc@xyz.com)
Federal Tax ID:		?			

Save & Exit **Save & Continue**

Fields marked with * are mandatory fields.

² **NOTE:** The option to auto-populate the Operator Contact Information is available as a dropdown box. Select the user’s information to be populated from their linked account. Please verify the information once it is populated for accuracy.

³ **NOTE:** If the Operator’s address is incorrect, please follow the guide on completing an [Organization Change of Information](https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/industrial_org_coi_pt1.pdf).
(https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/industrial_org_coi_pt1.pdf)

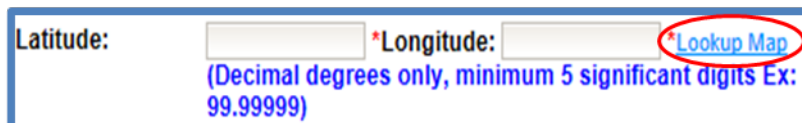
⁴ **NOTE:** To stop and return to the application process at a later time, select “Save & Exit.” To return to the application, select the Application ID number from the Main Menu.

7. Enter all required facility information in the “Facility Info” tab. Additional information can be found below regarding sections a.) Latitude and Longitude, b.) Total Area of Industrial Activities and Materials Exposed to Stormwater, and c.) Standard Industrial Classification (SIC) Codes can be found below in more detail.

Facility Information			
<small>The application is organized into different tabs. Please complete all applicable tabs before submitting the form. If you want to complete the application at a later time, please click on "Save & Exit".</small>			
WDID/App ID: - 502639	Operator: California 123	Certified Date:	
Status: Not Submitted	123 Bookit St Sacramento CA 95812	Processed Date:	
Order No: 2014-0057-DWQ	Facility:	NOT Effective Date:	
Permit Type: Industrial - NOI		Previous ID: -	
Operator Info	Facility Info	Addl. Facility Info	Billing Info
Attachments	Certification	Requirements	Inspections
Print	Status History	Linked Users	
Facility Information Same as Operator Info Clear Info If different, enter below			
<hr/>			
Facility Name: *	Contact First Name: *		
Street Address: *	Contact Last Name: *		
Address Line 2:	Title:		
Latitude: *	Longitude: *	*Lookup Map	
(Decimal degrees only, minimum 5 significant digits Ex: 99.99999)		a.)	
City: All *	Emergency Phone:	Ext: (999-999-9999)	
County: Select *	E-mail:	*(abc@xyz.com)	
Regional Board: Select *			
State/Zip: CA *	Total Site Size: *	Acres Sqft	
Percent of Site Imperviousness: %	Total Area of Industrial Activities and Materials Exposed to Storm Water: ?	b.)	
<hr/>			
SIC Codes			
Primary SIC Code 1: Select *			
Primary SIC Code 2: Select *			
Primary SIC Code 3: Select *	c.)		
Save & Exit Save & Continue			
<small>Fields marked with * are mandatory fields.</small>			

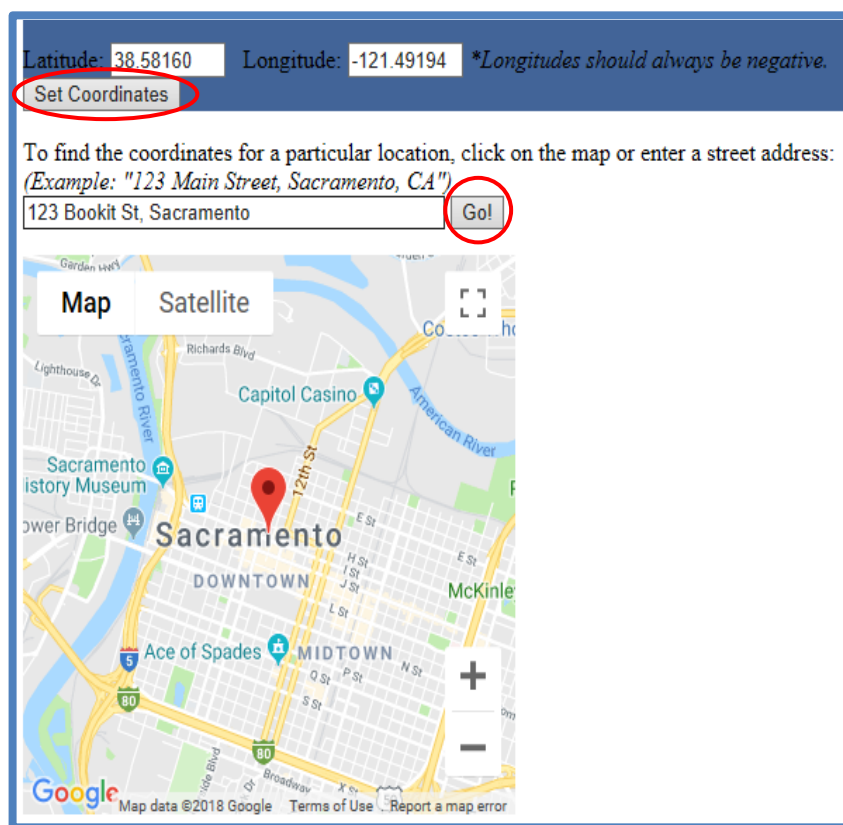
a.) Determining Latitude and Longitude

- i. To determine a facility's latitude and longitude coordinates, select the "Lookup Map" link.



Latitude: *Longitude: [*Lookup Map](#)
(Decimal degrees only, minimum 5 significant digits Ex: 99.99999)

- ii. Locate a site's latitude and longitude coordinates by entering the street address and clicking "Go!" The system will populate the coordinates above the map. When you select "Set Coordinates," the latitude and longitude will populate in the "Facility Info" tab on the main page.



Latitude: 38.58160 Longitude: -121.49194 *Longitudes should always be negative.
[Set Coordinates](#)

To find the coordinates for a particular location, click on the map or enter a street address:
(Example: "123 Main Street, Sacramento, CA")
123 Bookit St, Sacramento [Go!](#)

Map Satellite

Sacramento

Map data ©2018 Google Terms of Use Report a map error

b.) Total Areas of Industrial Activities and Materials Exposed to Stormwater:

This includes all areas of industrial activities at the facility and industrial materials exposed to the elements and is mobilized by stormwater and snowmelt runoff and drainage. Industrial materials are defined (but are not limited to): raw materials; recyclable materials; intermediate products; final products; by-products; waste products; fuels; materials such as solvents, detergents, and plastic pellets; finished materials such as metallic products; raw materials used in food processing or production; hazardous substances designated under Section 101(14) of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA); any chemical the facility is required to report pursuant to Section 313 of Title III of Superfund Amendments and Reauthorization Act (SARA); fertilizers; pesticides; and waste products such as ashes, slag, and sludge and that are used, handled, stored, or disposed in relation to a facility's industrial activity.

The "Total Area of Industrial Activities and Materials Exposed to Stormwater" will be greater than zero (0) when filing for a Notice of Intent⁵. Please be sure to specify area-units.



The screenshot shows a digital form with two rows. The first row is labeled 'Total Site Size:' and has a text input field containing the number '3'. To the right of the input field is a red asterisk, followed by two radio buttons: the first is selected and labeled 'Acres', and the second is labeled 'Sqft'. The second row is labeled 'Total Area of Industrial Activities and Materials Exposed to Storm Water:?' and has a text input field containing the number '1'. To the right of the input field is a red asterisk, followed by two radio buttons: the first is selected and labeled 'Acres', and the second is labeled 'Sqft'.

⁵ **NOTE:** If the "Total Area of Industrial Activities and Materials Exposed to Stormwater" is zero (0), then your facility may qualify for a No-Exposure Certification (NEC). For guidance on how to file for No Exposure Certification coverage, please use the Discharger's Guide to [No-Exposure Certification \(NEC\) Coverage](https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/nec_remediated.pdf). (https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/nec_remediated.pdf)

c.) Standard Industrial Classification (SIC) Codes

- i. Please specify the primary activity of the facility through a Standard Industrial Classification Code. If more than one is applicable, please make sure to fill out all primary activities that may be applicable. This is a helpful way to identify the type of industrial activities that are going on at the facility⁶.

City: Sacramento
County: Sacramento
Regional Board: Region 1
State/Zip: CA 95812
Percent of Site Imperviousness: %

SIC Codes

Primary SIC Code 1:

Primary SIC Code 2:

Primary SIC Code 3:

Save & Exit | Save & Continue

Fields marked with * are mandatory

- 1459 - Clay, Ceramic, and Refractory Minerals, NEC
- 1474 - Potash, Soda, and Borate Minerals
- 1475 - Phosphate Rock
- 1479 - Chemical and Fertilizer Mineral Mining, NEC
- 1481 - Nonmetallic Minerals Services Except Fuels
- 1499 - Miscellaneous Nonmetallic Minerals, Except Fuels
- 2011 - Meat Packing Plants
- 2013 - Sausages and Other Prepared Meats
- 2015 - Poultry Slaughtering and Processing
- 2021 - Creamery Butter
- 2022 - Natural, Processed, and Imitation Cheese
- 2023 - Dry, Condensed, and Evaporated Dairy Products
- 2024 - Ice Cream and Frozen Desserts
- 2026 - Fluid Milk
- 2032 - Canned Specialties
- 2033 - Canned Fruits, Vegetables, Preserves, Jams, and Jellies**
- 2034 - Dried and Dehydrated Fruits, Vegetables, and Soup Mixes
- 2035 - Pickled Fruits and Vegetables, Vegetable Sauces and Seasonings, and Salad Dressings
- 2037 - Frozen Fruits, Fruit Juices, and Vegetables
- 2038 - Frozen Specialties, NEC
- 2041 - Flour and Other Grain Mill Products
- 2043 - Cereal Breakfast Foods
- 2044 - Rice Milling
- 2045 - Prepared Flour Mixes and Doughs
- 2046 - Wet Corn Milling
- 2047 - Dog and Cat Food
- 2048 - Prepared Feed and Feed Ingredients for Animals and Fowls, Except Dogs and Cats
- 2051 - Bread and Other Bakery Products, Except Cookies and Crackers
- 2052 - Cookies and Crackers
- 2053 - Frozen Bakery Products, Except Bread

SIC Codes

Primary SIC Code 1: 2033 - Canned Fruits, Vegetables, Preserves, Jams, and Jellies *

Primary SIC Code 2: Select

Primary SIC Code 3: Select

⁶ **NOTE:** [Attachment A](#) of the Industrial General Permit can help determine the Standard Industrial Classification code that would be applicable to the industrial activity at the facility.
(https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/2014indgenpermit/atta.pdf)

- ii. If you select a Standard Industrial Classification Code pertaining to mining, answer the inactive mining questions as accurately as possible.

SIC Codes	
Primary SIC Code 1:	1241 - Coal Mining Services *
Primary SIC Code 2:	Select
Primary SIC Code 3:	Select
Is this an inactive mining site?	Yes *
Is the entire site inactive?	Yes *
If the entire site is not inactive, which portions are inactive?	
<div></div>	

8. Once you have entered in all required information, select “Save & Continue.”

Facility Information		
The application is organized into different tabs. Please complete all applicable tabs before submitting the form. If you want to complete the application at a later time, please click on “Save & Exit”.		
WDID/App ID: - 502633	Operator: California 123	Certified Date:
Status: Not Submitted	123 Bookit St Sacramento CA 95812	Processed Date:
Order No: 2014-0057-DWQ	Facility:	NOT Effective
Permit Type: Industrial - NOI		Date:
		Previous ID: -
<div>Operator Info Facility Info Addtl. Facility Info Billing Info Attachments Certification Requirements Inspections Print Status History Linked Users</div>		
Facility Information Same as Operator Info Clear Info If different, enter below		
Facility Name:	California 123 *	Contact First Name: Jane *
Street Address:	123 Bookit St *	Contact Last Name: Doe *
Address Line 2:		Title:
Latitude:	38.58160 *Longitude: -121.49194 *Lookup Map (Decimal degrees only, minimum 5 significant digits Ex: 99.99999)	Phone: 866-563-3107 *Ext: (999-999-9999)
City:	Sacramento *	Emergency Phone: Ext: (999-999-9999)
County:	Sacramento *	E-mail: stormwater@waterboard.ca.gov *(abc@xyz.com)
Regional Board:	Region 5S - Sacramento *	
State/Zip:	CA 95812 *	Total Site Size: 3 * <input checked="" type="radio"/> Acres <input type="radio"/> Sqft
Percent of Site Imperviousness:	%	Total Area of Industrial Activities and Materials Exposed to Storm Water: 1 * <input checked="" type="radio"/> Acres <input type="radio"/> Sqft
SIC Codes		
Primary SIC Code 1:	2033 - Canned Fruits, Vegetables, Preserves, Jams, and Jellies *	
Primary SIC Code 2:	Select	
Primary SIC Code 3:	Select	
<div>Save & Exit Save & Continue</div>		
Fields marked with * are mandatory fields.		

9. Enter information into the “Additional Facility Information” (also known as “Addl. Facility Info”) tab. Additional information can be found below regarding sections a.) Receiving Water Information, b.) Identified pollutants within the impaired watershed, and c.) New Facility Determination.

Additional Facility Information

The application is organized into different tabs. Please complete all applicable tabs before submitting the form. If you want to complete the application at a later time, please click on "Save & Exit".

WDID/App ID: - 502633

Operator: California 123

Certified Date:

Status: Not Submitted

123 Bookit St Sacramento CA 95812

Processed Date:

Order No: 2014-0057-DWQ

Facility: California 123

NOT Effective Date:

Permit Type: Industrial - NOI

123 Bookit St Sacramento CA 95812

Previous ID: -

Operator Info

Facility Info

Addl. Facility Info

Billing Info

Attachments

Certification

Requirements

Inspections

Print

Status History

Linked Users

Receiving Water Information

Name of the receiving water ? *

Does your facility's storm water flow directly into a Waters of the US? (examples: through a Municipal Separate Storm Sewer System (MS4) conveyance, river, lake, intermittent stream, ocean, etc)?

☐ No

☐ Yes

List of identified pollutants within the impaired watershed. Please mark if the pollutant(s) are present at the facility.

Parameter	Pollutant	Present at Facility?
Carcinogenic Pesticide Screen	Group A Pesticides	Select ▼ *
Chlorpyrifos	Chlorpyrifos	Select ▼ *
Diazinon	Diazinon	Select ▼ *
Dieldrin	Dieldrin	Select ▼ *
Dioxin compounds (including 2,3,7,8-TCDD)	Dioxin compounds (including 2,3,7,8-TCDD)	Select ▼ *
Furan Compounds	Furan Compounds	Select ▼ *
Metals Screen	Mercury	Select ▼ *
Metals Screen	Selenium	Select ▼ *
PCBs (Polychlorinated biphenyls)	PCBs (Polychlorinated biphenyls) (dioxin-like)	Select ▼ *
PCBs (Polychlorinated biphenyls)	PCBs (Polychlorinated biphenyls)	Select ▼ *
Specific Conductivity	Electrical Conductivity	Select ▼ *
Total DDT (including DDD, DDE and DDT)	DDT (Dichlorodiphenyltrichloroethane)	Select ▼ *
Total chlordane (sum of isomers: cis- and trans-nonachlor, oxychlordane, alpha- and gamma-chlordane)	Chlordane	Select ▼ *

New Facility Determination

Does the facility discharge directly to an impaired water body or discharge through an MS4 system that directly discharges to an impaired water body ?

Select ▼ *

Save & Exit

Save & Continue

Fields marked with * are mandatory fields.

The “Addl. Facility Info” tab will show the following screen that includes section d.) Total Maximum Daily Loads (TMDLs) if the facility is within the following Regional Water Board jurisdictions: San Francisco Bay Region (Region 2), Los Angeles Region (Region 4), Santa Ana Region (Region 8), and San Diego Region (Region 9).

Additional Facility Information

The application is organized into different tabs. Please complete all applicable tabs before submitting the form. If you want to complete the application at a later time, please click on "Save & Exit".

WDID/App ID: - 523185

Status: Not Submitted

Order No: 2014-0057-DWQ

Permit Type: Industrial - NOI

Operator: org test

1000 i street sacramento CA 95835

LA

Facility: 3650 E Olympic Blvd Los Angeles CA 90023

Operator Info

Facility Info

Addl. Facility Info

Billing Info

Attachments

Certification

Requirements

Reports

Inspections

Print

Status History

Linked Users

Receiving Water Information

Name of the receiving water ?:

Does your facility's storm water flow directly into a Waters of the US? (examples: through a Municipal Separate Storm Sewer System (MS4) conveyance, river, lake, intermittent stream, ocean, etc)?

☐ No

☐ Yes

List of identified pollutants within the impaired watershed. Please mark if the pollutant(s) are present at the facility.

Parameter	Pollutant	Present in Industrial Facility Storm Water Discharge?
Ammonia	Ammonia	Select ▼ *
Cyanide	Cyanide	Select ▼ *
Diazinon	Diazinon	Select ▼ *
Dissolved Oxygen	Eutrophic	Select ▼ *
Dissolved Oxygen	Organic Enrichment/Low Dissolved Oxygen	Select ▼ *
E.Coli and Enterococcus	Coliform Bacteria	Select ▼ *
Metals Screen	Copper	Select ▼ *
Metals Screen	Zinc	Select ▼ *
Metals Screen	Lead	Select ▼ *
Metals Screen	Cadmium	Select ▼ *
Metals Screen	Copper, Dissolved	Select ▼ *
Nitrate, Nitrite, total Nitrogen, Dissolved oxygen, temperature, and total phosphorus.	Nutrients (Algae)	Select ▼ *
Oil	Oil	Select ▼ *
pH	pH	Select ▼ *

New Facility Determination

Does the facility discharge directly to an impaired water body or discharge through an MS4 system that directly discharges to an impaired water body ?

Select ▼ *

TMDL Waterbody/Watershed Information

Does your facility storm water flow to one or more TMDL water bodies or watersheds listed in Attachment E?

Select ▼ *

[Industrial General Permit Map Tool](#)

Save & Exit

Save & Continue

Fields marked with * are mandatory fields.

d.)

- a.) Receiving Water Information: The [Discharge Mapping Tool](https://www.epa.gov/npdes/epas-stormwater-discharge-mapping-tools) (<https://www.epa.gov/npdes/epas-stormwater-discharge-mapping-tools>) is an optional tool to find the receiving water to which your facility is discharging. EPA's Stormwater Discharge Mapping Tool⁷ can be found in the "?" link.

Receiving Water Information

Name of the receiving water ? *

Does your facility's storm water flow directly into a Waters of the US? (examples: through a Municipal Separate Storm Sewer System (MS4) conveyance, river, lake, intermittent stream, ocean, etc)? ☐ No ☐ Yes

- i. In the Discharge Mapping Tool, when you have mapped out the area in which the industrial activity is taking place, click on "Execute Query." You can find the Receiving Water Name in the first column of the image below.⁸ Enter in the receiving water name into the Receiving Water Information tab found on the main page.

Executing Query			
Number of Catchments Found: 1			
Receiving Water Name (NHD Waters)	Impaired/Listed Waters and Causes	Listed Waters with TMDLs	
		TMDL Name	Pollutant

⁷ **NOTE:** A Municipal Separate Storm Sewer System (MS4) is a conveyance or system of conveyances that is owned by a state, city, town, village, or other public entity that discharges to waters of the U.S. The system is designed or used to collect or convey stormwater (including storm drains, pipes, ditches, canals, etc.) generally to a receiving water.

⁸ **NOTE:** The Discharge Mapping Tool is only a resource and is not required. Do not use this tool for impaired water bodies; the list used is different than the adopted list in the Industrial General Permit. For impaired water bodies applicable in this permit, please reference [Appendix 3](#) (https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/2014indgenpermit/app3.pdf) and the [Appendix 3 spreadsheet](#) (https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/2014indgenpermit/app_3_303d_corrected.xls)

b.) List of Identified Pollutants within the Impaired Watershed:

- i. If the listed industrial pollutants are present at the facility, select “Yes” in the “Present at Facility?” column⁹. If they are not present at the facility, you can select “No.”

Parameter	Pollutant	Present at Facility?
Carcinogenic Pesticide Screen	Group A Pesticides	Yes ▾ *
Chlorpyrifos	Chlorpyrifos	Yes ▾ *
Diazinon	Diazinon	No ▾ *
Dieldrin	Dieldrin	Yes ▾ *
Dioxin compounds (including 2,3,7,8-TCDD)	Dioxin compounds (including 2,3,7,8-TCDD)	Yes ▾ *
Furan Compounds	Furan Compounds	Yes ▾ *
Metals Screen	Mercury	Yes ▾ *
Metals Screen	Selenium	Yes ▾ *
PCBs (Polychlorinated biphenyls)	PCBs (Polychlorinated biphenyls) (dioxin-like)	Yes ▾ *
PCBs (Polychlorinated biphenyls)	PCBs (Polychlorinated biphenyls)	Yes ▾ *
Specific Conductivity	Electrical Conductivity	Yes ▾ *
Total DDT (including DDD, DDE and DDT)	DDT (Dichlorodiphenyltrichloroethane)	Yes ▾ *
Total chlordane (sum of isomers: cis- and trans-nonachlor, oxychlordane, alpha- and gamma-chlordane)	Chlordane	Yes ▾ *

c.) New Facility Determination:

Contact the local municipality for information about storm drain discharge locations in a Municipal Separate Storm Sewer System (MS4). It may also be beneficial to hire a Qualified Industrial Stormwater Practitioner to help determine if the facility is discharging to an impaired water body.

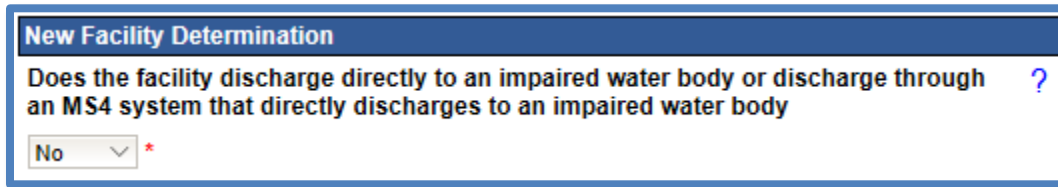
New Facility Determination

Does the facility discharge directly to an impaired water body or discharge through an MS4 system that directly discharges to an impaired water body ?

Select ▾ *

⁹ **NOTE:** Dischargers are required to monitor for these pollutants, as required by section XI.B.6.e of the Industrial General Permit.

- i. If the facility does not discharge directly to an impaired water body, then select “No.” Proceed to Step 11.



New Facility Determination

Does the facility discharge directly to an impaired water body or discharge through an MS4 system that directly discharges to an impaired water body ?

No *

- ii. If the facility does discharge directly to an impaired waterbody, then select “Yes.” The system will prompt you to answer three additional questions:

1. Did discharges from the site commence prior August 13, 1979?

If the facility started discharging industrial stormwater before August 13, 1979, then select “Yes.”

If the facility started discharging after August 13, 1979, then select “No.”

2. Are discharges from the site defined as New Source by 40 CFR 122.29?

40 C.F.R. defines a new source as:

“Any building, structure, facility, or installation from which there is or may be a ‘discharge of pollutants.’”

It is considered a new source if:

[1] It is constructed at a site at which no other source is located, [2] it totally replaces the process or production equipment that causes the discharge of pollutants at an existing source, or its processes are substantially independent of an existing source at the same site.

This means:

[1] The site is completely new and no source to discharge pollutants was located there before

[2] The site previously had a completely unrelated activity and is now being altered and has pollutants or potential pollutants that were previously not present in discharge from the site.

If any of these definitions of New Source are applicable to your facility, select "Yes."

3. Did the site have a prior NPDES permit coverage for these discharges?

The National Pollutant Discharge Elimination System (NPDES) permit program addresses water pollution by regulating point sources (i.e. industrial facilities) that discharge pollutants to waters of the United States. The Industrial General Permit is an NPDES permit in California.

If the site you are registering for coverage previously had a similar industrial activity that was covered by the Industrial General Permit prior to 1 July 2015, you would not be considered a new source. To be considered a similar industrial activity, the two activities would need to have the similar pollutants or potential pollutants in their discharge.

Examples:

Not a new facility: An Auto Dismantler site was operating with Industrial General Permit coverage prior to 1 July 2015. In August, the Auto Dismantler closed the business and terminated permit coverage. In September, the site is bought by another Auto Dismantler and registers for Industrial General Permit coverage. This new business is doing the same industrial activity and is not considered a new industrial source. In this case, the question would be answered as "Yes."

A new facility: An Auto Dismantler site was operating with Industrial General Permit coverage prior to 1 July 2015. In August, the Auto Dismantler closed the business and terminated permit coverage. In September the site is bought by a Food Manufacturing company and registers for Industrial General Permit coverage. This new business is doing a completely different industrial activity and is a new industrial source. In this case, the question would be answered as “No.”

The screenshot shows a web form titled "New Facility Determination". The first question is "Does the facility discharge directly to an impaired water body or discharge through an MS4 system that directly discharges to an impaired water body?" with a dropdown menu currently set to "Yes" and a red asterisk. Below this are three more questions, each with a "Select" dropdown menu, highlighted by red boxes and numbered 1, 2, and 3 on the right side of the form:

1. Did discharges from the site commence prior August 13, 1979?
2. Are discharges from the site defined as a New Source by 40 CFR 122.29?
3. Did the site have a prior NPDES permit coverage for these discharges?

- iii. If you select “No” for all three questions, you will be required to hire a Qualified Industrial Stormwater Practitioner (QISP). An additional question will appear prompting you to have a Qualified Industrial Stormwater Practitioner-prepared document to demonstrate that the facility meets the criteria of Section VII.B of the Industrial General Permit and showing that it would be eligible for permit coverage.
1. If you select “No” to this question, the facility will not be eligible for Notice of Intent permit coverage under the Industrial General Permit.

2. If you select “Yes,” you will need to upload the document as “Supporting Documentation” in the “Attachments” Tab. The Notice of Intent cannot be completed if this documentation is missing. The facility will then be eligible for Notice of Intent permit coverage.

New Facility Determination

Does the facility discharge directly to an impaired water body or discharge through an MS4 system that directly discharges to an impaired water body ?
 *

Did discharges from the site commence prior August 13, 1979?

Are discharges from the site defined as a New Source by 40 CFR 122.29?

Did the site have a prior NPDES permit coverage for these discharges?

The QISP has prepared a document that demonstrates the facility meets one or more criteria for discharging to a waterbody with a 303(d) listed impairment as described in Section VII.B of the General Permit and is eligible for permit coverage?

d.) TMDL Waterbody/Watershed Information

TMDLs are applicable to facilities that are located in the San Francisco Bay Region (Region 2), Los Angeles Region (Region 4), Santa Ana Region (Region 8), or San Diego Region (Region 9)¹⁰. select “Industrial General Permit Map Tool” to check if your facility’s stormwater flows to one or more TMDL water bodies or watersheds listed in [Attachment E of the Industrial General Permit](#) (https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/2014indgenpermit/atte.pdf).

TMDL Waterbody/Watershed Information

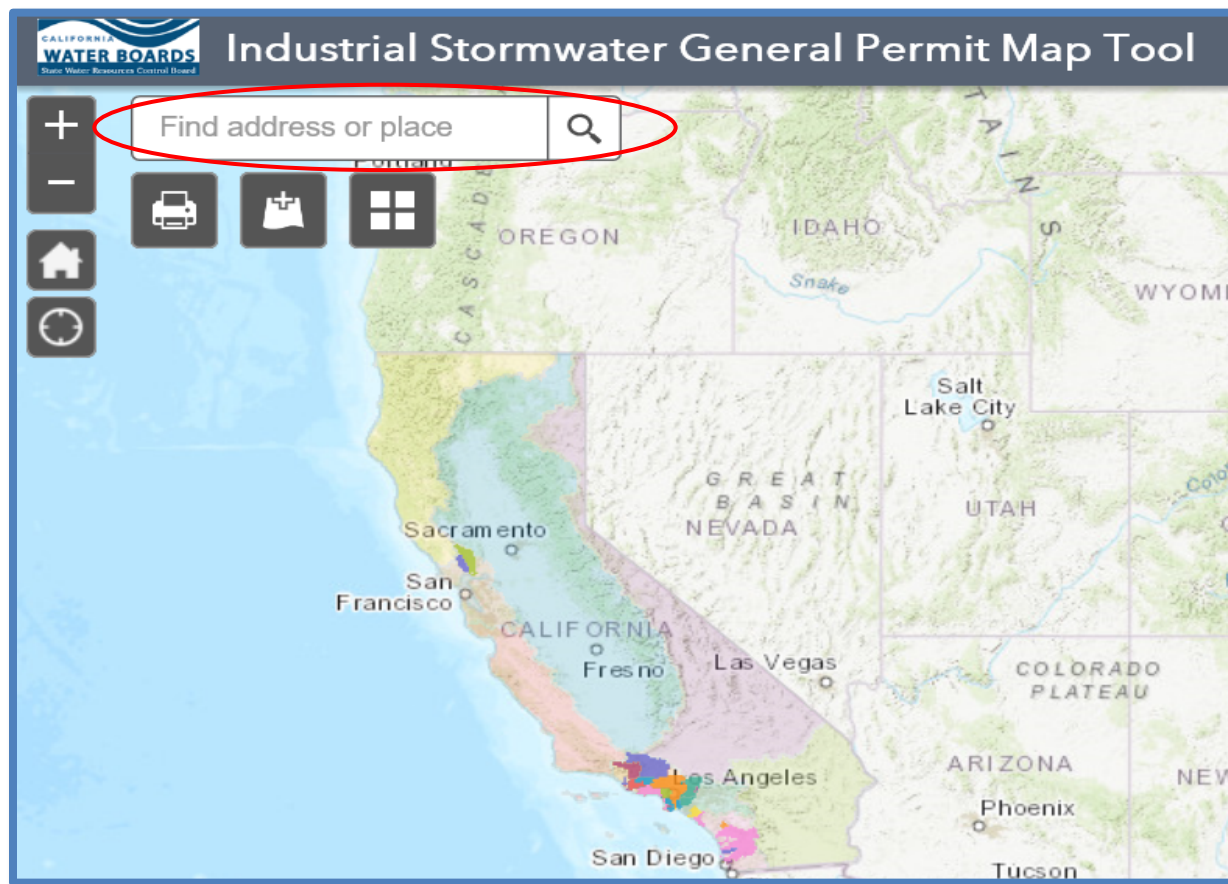
Does your facility storm water flow to one or more TMDL water bodies or watersheds listed in Attachment E? *

[Industrial General Permit Map Tool](#)

Fields marked with * are mandatory fields.

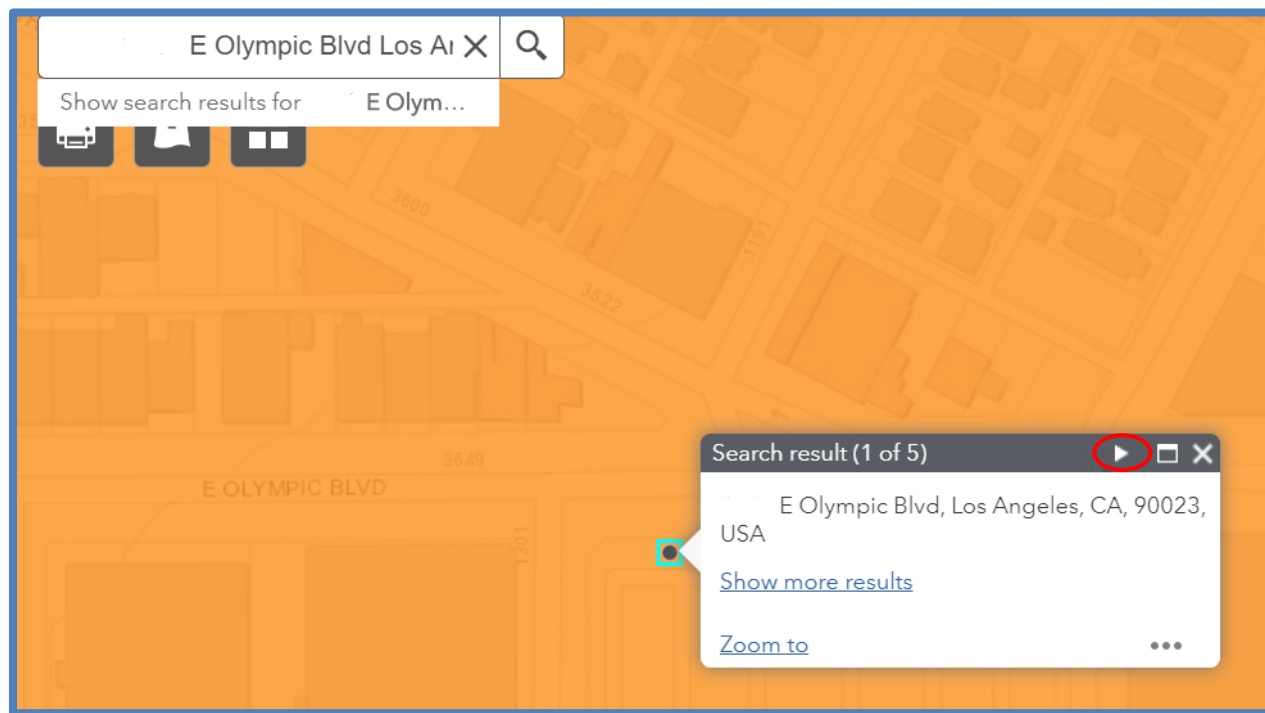
¹⁰ **NOTE:** For facilities located in Regions 1, 3, 5, 6, and 7, this question is not applicable and will not see this question regarding “TMDL Waterbody/Watershed Information.”

- i. The “Industrial General Permit Map Tool¹¹,” screen:



¹¹ **NOTE:** The “Industrial General Permit Map Tool” is for information only and there is no explicit or implied assurance of the accuracy for the information provided. You can use the map tool as a starting point to identify potentially applicable TMDLs for your facility, but final determinations of TMDL requirements should be made by referring to [Attachment E of the Industrial General Permit](https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/2014indgenpermit/atte.pdf) (https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/2014indgenpermit/atte.pdf).

- ii. To find the TMDL that may apply to your facility, click on your facility's location on the map to see the popup showing TMDL¹² information. Click the arrow to the right of the title to see all adopted TMDLs that may apply to the impaired watershed, waterbody, reach, or tributary your facility potentially discharges into.



¹² **NOTE:** [Contact the Regional Water Board](https://www.waterboards.ca.gov/water_issues/programs/stormwater/contact.html) for any specific questions regarding TMDLs.
(https://www.waterboards.ca.gov/water_issues/programs/stormwater/contact.html).

- iii. If the facility's stormwater flows into one or more TMDL water body(ies) or watershed(s) listed in Attachment E, select "Yes." Select all the applicable TMDL watershed(s) or water body(ies) the facility's stormwater runoff may have potential to discharge to. If selected "No," continue to step 10.

The screenshot shows a web form titled "TMDL Waterbody/Watershed Information". It asks "Does your facility storm water flow to one or more TMDL water bodies or watersheds listed in Attachment E?" with a dropdown menu set to "Yes". Below this is a link to the "Industrial General Permit Map Tool". A note instructs users to select all applicable TMDL watersheds or water bodies from a list. The list includes: Lejig Lakes, Peck Road Park, Puddingstone Reservoir, Los Angeles Harbor (Inner Cabrillo Beach and Main Ship Channel), Los Angeles River and Los Angeles River Tributaries (checked), Los Angeles River Watershed (checked), Consolidated Slip, Dominguez Channel and Torrance Lateral Channel, and Dominguez Channel Estuary. At the bottom, there is a "Save TMDL watershed(s)" button, a note about saving flow and watershed information, and "Save & Exit" and "Save & Continue" buttons. A footer note states "Fields marked with * are mandatory fields."

10. When you have completed filling out the information in the "Additional Facility Information" tab, select "Save & Continue."

11. In the "Billing Info" Tab, enter in all required billing information¹³, then click "Save & Continue."

The screenshot shows the "Billing Information" tab of a web application. The tab is highlighted in green. The form contains fields for "Billing Name" (California 123), "Street Address" (123 Bookit St), "Address Line 2", "City/State/Zip" (Sacramento, CA, 95812), "E-mail" (stormwater@waterboard.ca.gov), "Contact First Name" (Jane), "Contact Last Name" (Doe), "Title", "Phone" (866-563-3107), and "Ext." (999-999-9999). There are "Save & Exit" and "Save & Continue" buttons at the bottom. The "Save & Continue" button is circled in red. A footer note states "Fields marked with * are mandatory fields."

¹³ **NOTE:** Once the Waste Discharge Identification (WDID) number is assigned, this tab will also show the status and history of annual invoices.

12. The Notice of Intent application requires you to upload, at minimum, a Stormwater Pollution Prevention Plan (SWPPP) and a site map for the facility to meet the requirements found in Section X and Section X.E of the Industrial General Permit¹⁴. In the “Attachments” Tab, select the “Upload Attachment” button.

Attachments

The application is organized into different tabs. Please complete all applicable tabs before submitting the form. If you want to complete the application at a later time, please click on "Save & Exit".

WDID/App ID: - 502633 Operator: California 123 Certified Date:
Status: Not Submitted 123 Bookit St Sacramento CA 95812 Processed Date:
Order No: 2014-0057-DWQ Facility: California 123 NOT Effective
Permit Type: Industrial - NOI 123 Bookit St Sacramento CA 95812 Date:
Previous ID: -

Operator Info Facility Info Addl. Facility Info Billing Info **Attachments** Certification Requirements Inspections Print Status History Linked Users

Please click on "Upload Attachment" button to upload the corresponding files. **Upload Attachment**

Attached files: The following are the current documents related to the NOI. Click on the Attachment ID to view them.

Attachment ID	Attachment For	File Type	File Title	File Description	File Size (Bytes)	Document Date	Part No.	Date Attached	Upload By	Delete
No records found.										

Save & Exit Save & Continue

Fields marked with * are mandatory fields.

You may upload the attachments relevant for Notice of Intent permit coverage. Be sure to specify the “Attachment File Type” and “Attachment Title”. Click on the “Browse” button to upload the relevant file.

Operator Info Facility Info Addl. Facility Info Billing Info **Attachments** Certification Requirements Inspections Print Status History Linked Users

Please click on "Upload Attachment" button to upload the corresponding files.

Attachment File Type	Attachment Title	File Description	Part No.	Document Date	File Name
SWPPP	SWPPP		Part of		Browse...
Facility/Site Map	Site Map		Part of		Browse...
Select			Part of		Browse...

Upload Files Cancel Add New Row

Attached files: The following are the current documents related to the NOI. Click on the Attachment ID to view them.

¹⁴ **NOTE:** [Appendix 1](#) of the Industrial General Permit provides a checklist of the items needed in a Stormwater Pollution Prevention Plan (SWPPP). (https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/2014indgenpermit/app1.pdf)

If you are required to provide supporting documentation from a Qualified Industrial Stormwater Practitioner (QISP) to demonstrate that your facility meets one or more of the criteria of [Section VII.B](#) (https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/unoff_igp_amend.pdf#page=26) of the Industrial General Permit, you would upload the documents prepared by a Qualified Industrial Stormwater Practitioner as an attachment. Select "Upload Files" when all attachments are ready to be uploaded.

The screenshot shows the 'Attachments' tab of the NOI application. At the top, there are navigation tabs: Operator Info, Facility Info, Addl. Facility Info, Billing Info, **Attachments**, Certification, Requirements, Inspections, Print, Status History, and Linked Users. Below the tabs, a message says: "Please click on 'Upload Attachment' button to upload the corresponding files." The main area contains a table with columns: Attachment File Type, Attachment Title, File Description, Part No., Document Date, and File Name. There are three rows for uploading files: SWPPP, Facility/Site Map, and Supporting Documentation. Each row has a dropdown for file type, a text field for title, a text field for description, a 'Part' field, a 'Document Date' field, and a 'File Name' field with a 'Browse...' button. At the bottom left, the 'Upload Files' button is circled in red. Other buttons include 'Cancel' and 'Add New Row'. Below the table, a message says: "Attached files: The following are the current documents related to the NOI. Click on the Attachment ID to view them."

Once you verify that the documents are uploaded correctly, select "Save & Continue":

The screenshot shows the 'Attachments' tab with a list of uploaded files. At the top, there are navigation tabs: Operator Info, Facility Info, Addl. Facility Info, Billing Info, **Attachments**, Certification, Requirements, Inspections, Print, Status History, and Linked Users. Below the tabs, a message says: "Please click on 'Upload Attachment' button to upload the corresponding files." The main area contains a table with columns: Attachment ID, Attachment For, File Type, File Title, File Description, File Size (Bytes), Document Date, Part No., Date Attached, Upload By, and Delete. There are three rows of uploaded files: 2402675, 2402676, and 2402677. At the bottom left, the 'Save & Continue' button is circled in red. Other buttons include 'Save & Exit'. Below the table, a message says: "Fields marked with * are mandatory fields."

13. In the “Certification” tab, select the “Perform Completion Check” button. This function provides the user with a notification of any errors that must be corrected prior to submission of the Notice of Intent (e.g. Stormwater Pollution Prevention Plan (SWPPP) was uploaded incorrectly).

Certification

The application is organized into different tabs. Please complete all applicable tabs before submitting the form. If you want to complete the application at a later time, please click on "Save & Exit".

WDID/App ID:	- 502633	Operator:	California 123	Certified Date:	
Status:	Not Submitted		123 Bookit St Sacramento CA 95812	Processed Date:	
Order No:	2014-0057-DWQ	Facility:	California 123	NOT Effective Date:	
Permit Type:	Industrial - NOI		123 Bookit St Sacramento CA 95812	Previous ID:	-

[Operator Info](#) [Facility Info](#) [Addl. Facility Info](#) [Billing Info](#) [Attachments](#) **[Certification](#)** [Requirements](#) [Inspections](#) [Print](#) [Status History](#) [Linked Users](#)

Perform the completion check to verify that all required information is completed. Click the button below to start completion check.

Perform Completion Check

The Legally Responsible Person must review the prepared Notice of Intent for information accuracy, prior to continuing to the “Certification” tab to perform the completion check.

Any user can perform this check. If a Duly Authorized Representative or Data Entry Person performs the check and the Notice of Intent application is complete, SMARTS only allows the Duly Authorized Representative or Data Entry Person to notify the Legally Responsible Person (via a generated SMARTS email) that the Notice of Intent application must be certified and submitted.

14. The Legally Responsible Person certifies and submits the Notice of Intent by selecting both check boxes, answering a security question, entering their password, and then selecting the “Certify Application” button:

15. A confirmation screen will confirm submission of the Notice of Intent in SMARTS. You can then download a copy of the application for your records. The fee will need to be paid before the application is processed. The fee can be paid either by Check or Online through Electronic Fund Transfer (EFT) or Credit Card:

If "Pay by Check" is selected a fee statement will be downloaded for you to print and mail in with a check. The State Water Board mailing address is located on the fee statement:

November 09, 2021	State Water Resources Control Board
EXAMPLE ONLY	
Fee Statement	
Application Id # 461380	
<u>Facility/Site</u>	
cscsc scsc scsc Sacramento CA 95835	
Thank you for submitting the Permit Registration Documents (PRDs) for the facility/site referenced above. The application fee for this submittal is: \$1,738.00	
The application is considered incomplete until all PRDs, including the application fee, are received. Only after all PRDs are received, will the WDID Number be assigned. Permit coverage begins once the WDID Number is assigned to the facility/site.	
Note: The submitted application will be automatically returned as incomplete if all PRDs, including the application fee and the original signed electronic authorization form, are not received within 60 days from the date of submission.	
Please make checks payable to: SWRCB	
Mail this Fee Statement and \$1,738.00 to:	
<u>Regular Mailing Address:</u> SWRCB	<u>Overnight Mailing Address:</u> SWRCB

If “Pay Online” is selected, an additional box will appear for you to follow to an external website. Please note the Application number¹⁵ listed in the box to pay either by Electronic Fund Transfer or Credit Card.

Electronic Fund Transfer

Please Use Information Below To Pay Online

SWRCB Application No.: SA502633

Amount Due to SWRCB: \$1738

The Water Boards provides two methods to pay online.

Electronic Fund Transfer - The EFT Automated Clearing House (ACH) Debit method allows the state's data collector to electronically debit your bank account and credit the state's bank account. Your account will be debited by the state's data collector "First Data" only upon your initiation and for the amount you specify.

Credit Cards - The state's data collector "Official Payments Corporation" payment system allows you to use a credit card to make a payment to the State Water Resources Control Board. You will be responsible for paying the 2.3% convenience fee (\$1.00 minimum) charged by "Official Payments Corporation" when making a credit card payment on their website. This fee is in addition to the amount owed to the State Water Resources Control Board. To make a payment by Visa, MasterCard, or Discover you will have to select the following:

1. Click on STATE PAYMENTS and then select California
2. Payment Entity – select State Water Resources Control Board
3. Payment Type – select either Application Fees

After all 3 items are filled in, click on Make a Payment button and you will be taken to the payment page where you can begin your payment.

By using First Data or Official Payments Corporation, you will be leaving the State Water Resources Control Board's (SWRCB) website and entering the State's data collector's website. First Data and Official Payments Corporation are not affiliated with the SWRCB and the SWRCB is NOT responsible for the contents or links contained on their website. The SWRCB recommends that you read and evaluate First Data's or Official Payments Corporation security and confidentiality statements.

Failure to correctly enter the application number and amount may lead to delays in processing the application.

Pay by EFT

Pay by Credit Card

16. A Waste Discharge Identification (WDID) number will be assigned once the State Water Board receives payment, a valid eAuthorization form is on file, and the processing of the Notice of Intent documents has been completed:

WDID/App ID:	5S34I025869 - 459447	Operator:	California 123	Certified Date:	05/20/2019
Status:	Active		123 Bookit St Sacramento CA 95812	Processed Date:	05/20/2019
Order No:	2014-0057-DWQ	Facility:	California 123	NOT Effective	
Permit Type:	Industrial - NOI		123 Bookit St Sacramento CA 95812	Date:	
				Previous ID:	-

¹⁵ **NOTE:** Electronic Fund Transfer Payments take a few days to process. The Application will only be processed once the Electronic Fund Transfer has cleared and the eAuthorization form for the Legally Responsible Person is on file.

To print a copy of the Receipt Letter for your records, go to the Print tab.

Operator Info	Facility Info	Addl. Facility Info	Billing Info	Attachments	Certification	Requirements	Inspections	Print	Status History
Print NOI Copy									
Fee Statement		Fee Statement							
Original NOI		Initially Submitted NOI							
Submitted NOI		Submitted NOI							
Current NOI		Current NOI							
Receipt Letter		Receipt Letter							
Return Letter		Return Letter							

Fields marked with * are mandatory fields.

Please make sure to reference the Waste Discharge Identification (WDID) number and/or the Application ID in any communications with the Water Boards.